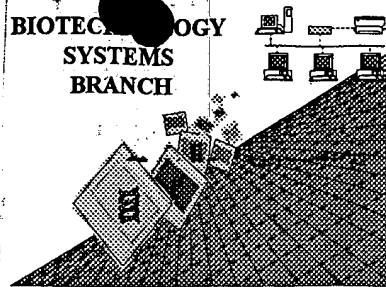


208

RAW SEQUENCE LISTING
ERROR REPORT

X

BIOTECHNOLOGY
SYSTEMS
BRANCH



#12

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DEC 26 2000

TECH CENTER 1000/2900

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/202,634

Source: 1638

Date Processed by STIC: 12/7/2000

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: patin30help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

Checker Version 3.0

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO).

Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address:

<http://www.uspto.gov/web/offices/pac/checker>

Raw Sequence Listing Error Summary

ERROR DETECTED SUGGESTED CORRECTION

SERIAL NUMBER: 09/202,634

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 Wrapped Nucleics The number/text at the end of each line "wrapped" down to the next line.
This may occur if your file was retrieved in a word processor after creating it.
Please adjust your right margin to .3, as this will prevent "wrapping".
- 2 Wrapped Aminos The amino acid-number/text at the end of each line "wrapped" down to the next line.
This may occur if your file was retrieved in a word processor after creating it.
Please adjust your right margin to .3, as this will prevent "wrapping".
- 3 Incorrect Line Length The rules require that a line not exceed 72 characters in length. This includes spaces.
- 4 Misaligned Amino Acid Numbering The numbering under each 5th amino acid is misaligned. This may be caused by the use of tabs between the numbering. It is recommended to delete any tabs and use spacing between the numbers.
- 5 Non-ASCII This file was not saved in ASCII (DOS) text, as required by the Sequence Rules.
Please ensure your subsequent submission is saved in ASCII text so that it can be processed.
- 6 Variable Length Sequence(s) contain n's or Xaa's which represented more than one residue.
As per the rules, each n or Xaa can only represent a single residue.
Please present the maximum number of each residue having variable length and indicate in the (ix) feature section that some may be missing.
- 7 PatentIn ver. 2.0 "bug" A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequence(s) . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies primarily to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
- 8 Skipped Sequences (OLD RULES) Sequence(s) missing. If intentional, please use the following format for each skipped sequence:
(2) INFORMATION FOR SEQ ID NO:X:
(i) SEQUENCE CHARACTERISTICS: (Do not insert any headings under "SEQUENCE CHARACTERISTICS")
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:X:
This sequence is intentionally skipped

Please also adjust the "(iii) NUMBER OF SEQUENCES:" response to include the skipped sequence(s).
- 9 Skipped Sequences (NEW RULES) Sequence(s) missing. If intentional, please use the following format for each skipped sequence.
<210> sequence id number
<400> sequence id number
000
- 10 Use of n's or Xaa's (NEW RULES) Use of n's and/or Xaa's have been detected in the Sequence Listing.
Use of <220> to <223> is MANDATORY if n's or Xaa's are present.
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
- 11 Use of <213>Organism (NEW RULES) Sequence(s) are missing this mandatory field or its response.
- 12 Use of <220>Feature (NEW RULES) Sequence(s) are missing the <220>Feature and associated headings.
Use of <220> to <223> is MANDATORY if <213>ORGANISM is "Artificial" or "Unknown"
Please explain source of genetic material in <220> to <223> section.
(See "Federal Register," 6/01/98, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of new Rules)
- 13 PatentIn ver. 2.0 "bug" Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing).
Instead, please use "File Manager" or any other means to copy file to floppy disk.

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1638

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/202,634

DATE: 12/07/2000
TIME: 10:26:05

Input Set : A:\9725-131.app
Output Set: N:\CRF3\12072000\I202634.raw

Does Not Comply
Corrected Diskette Needed

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DEC 26 2000

TECH CENTER 1000/2500

3 <110> APPLICANT: SCHUBERT, ROLAND
4 SANDERMANN, HEINRICH
5 ERNST, DIETRICH
6 HAIN, RUDIGER
7 FISCHER, REGINA
9 <120> TITLE OF INVENTION: OZONE-INDUCED GENE EXPRESSION IN PLANTS
11 <130> FILE REFERENCE: 9725.13USWO
13 <140> CURRENT APPLICATION NUMBER: 09/202,634
14 <141> CURRENT FILING DATE: 1999-03-03
16 <150> PRIOR APPLICATION NUMBER: PCT/EP97/03187
17 <151> PRIOR FILING DATE: 1997-06-18
19 <150> PRIOR APPLICATION NUMBER: 196 25 330.6
20 <151> PRIOR FILING DATE: 1996-06-25
22 <150> PRIOR APPLICATION NUMBER: 196 25 347.0
23 <151> PRIOR FILING DATE: 1996-06-25
25 <150> PRIOR APPLICATION NUMBER: 196 25 569.9
26 <151> PRIOR FILING DATE: 1996-09-02
28 <150> PRIOR APPLICATION NUMBER: 196 54 574.9
29 <151> PRIOR FILING DATE: 1996-12-27
31 <160> NUMBER OF SEQ ID NOS: 3
33 <170> SOFTWARE: PatentIn Ver. 2.1
35 <210> SEQ ID NO: 1
36 <211> LENGTH: 161
37 <212> TYPE: DNA
38 <213> ORGANISM: Vitis vinifera
40 <400> SEQUENCE: 1
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42 gcaatcccaac gggaggggaag ctgctaccaaa ccttcgtaat gtt atgaaa tcaaagtcac 120
W--> 43 tcaatgtccg aatttcaaac ctcaaccaac caatagccaa t 161
46 <210> SEQ ID NO: 2
47 <211> LENGTH: 33
48 <212> TYPE: DNA
49 <213> ORGANISM: Vitis vinifera
51 <400> SEQUENCE: 2
52 ccccaagctt ccccggaatca catttctatg agt 33
55 <210> SEQ ID NO: 3
56 <211> LENGTH: 34
57 <212> TYPE: DNA
58 <213> ORGANISM: Vitis vinifera
60 <400> SEQUENCE: 3
61 cgcggatcct caattgaagc cattgatcct agct 34

see item 10 on Enn Summary Sheet

VERIFICATION SUMMARY

DATE: 12/07/2000

PATENT APPLICATION: US/09/202,634

TIME: 10:26:06

Input Set : A:\9725-131.app

Output Set: N:\CRF3\12072000\I202634.raw

L:43 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:1
L:43 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:1
L:43 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:1
L:43 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:1
L:43 M:340 W: (46) "n" or "Xaa" used: Feature required, for SEQ ID#:1